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Ann-Ellice Parker

Ann-Ellice Parker

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: DILLION et al.

Docket No.: ISAA0040

Serial No.: 10/032,843

Art Unit: 2161

Filed: 12/27/2001

Examiner: Unknown

Title: SYSTEM AND METHOD FOR OBTAINING KEYWORD DESCRIPTIONS OF RECORDS FROM A LARGE DATABASE

SEPTEMBER 22, 2004

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Sir:

The following documents are being transmitted:

- IDS Transmittal (1 sheet);
- Information Disclosure Statement, (2 pgs) 1449 form
- International Search Report
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. ISAA0040). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn
Reg. No. 30,176

Customer No. 22862



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dillon et al.

Docket No.: ISAA0040

Serial No. : 10/032,843

Art Unit: 2161

Filed: December 27, 2001

Examiner: Unknown

Title: SYSTEM AND METHOD FOR OBTAINING KEYWORD DESCRIPTIONS OF RECORDS FROM A LARGE DATABASE

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Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
September 22, 2004

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☒ (X) under 37 CFR 1.97(b), or

(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:

☐ () Certification under 37 CFR 1.97(e), or

☐ () a \$220.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ () under 37 CFR 1.97(d) together with a:

☐ () Certification under 37 CFR 1.97(e), and

☐ () a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and

☐ () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. ISAA0040). A copy of this sheet is enclosed for accounting purposes.

☒ (X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael Glenn
Attorney For Applicant
Reg. No. 30,176

Customer No. 22862

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/032,843
Filing Date	12/27/01
First Named Inventor	Dillion et al
Art Unit	2161
Examiner Name	Unknown
Attorney Docket Number	ISAA0040

Sheet	1	of	2
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U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A	US- 5,941,944	8/24/99	Messerly	
	B	US- 6,098,0654	8/1/00	Skillen et al.	
	C	US- 6,266,664	6/21/01	Russell-Falla et al.	
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FOREIGN PATENT DOCUMENTS

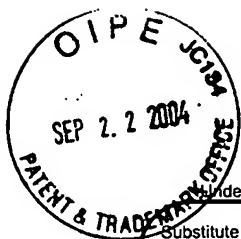
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (02-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/032,843

Filing Date 12/27/01

First Named Inventor Dillon et al.

Art Unit 2161

Examiner Name Unknown

Attorney Docket Number ISAA0040

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Sheet 2

of

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OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	D	KHAN, I. "Personal Adaptive Web Agent: A Tool for Information Filtering": Proceedings of the 1997 IEEE Conference on Electrical and Computer Engineering, pg. 306, May 1997	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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